IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of

0 7 2004

Mamdani, Malik et al.

Serial No.: 09/692,775

]] David Q. Nguyen

2681

Filed: October 16, 2000

Art Unit:

Examiner:

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For: Mixed-Mode Interaction

APR 0 8 2004

Commissioner of Patents and Trademarks

Washington, D.C. 20231

Technology Center 2600

RESPONSE TO RESTRICTION REQUIREMENT

Dear Sir:

In response to the Office Action of March 4, 2004, a non-final action, Applicant provisionally elects Invention I for examination with traverse.

Examiner has provided the following restriction requirement:

- I. Claims 1-7, drawn to a method receiving spoken input from a wireles device for accessing a voice wallet, the voice wallet authorizing a purchase transaction upon the spoken input being authenticated by the voice wallet, classified in class 379, subclass 88.17.
- II. Claims 8-14, drawn to a method receiving input from a wireless device, said input including purchase notification criteria which is not price-related, and which includes a location of a user of said device, classified in class 340, subclass 995.
- III. Claims 15-21, drawn to a method obtaining, from a wireless device, purchase notification criteria, wherein said criteria includes non-price related information and includes at least scheduling information which provides a time condition upon which user is to be notified, classified in class 709, subclass 202.
- IV. Claim 29, drawn to a method obtaining, from a wireless device, purchase notification criteria, wherein said criteria including a first user condition associated with a notification format, the format being one of a WML, SMS or SMTP format, classified in class 455, subclass 466.

Examiner claims the inventions are distinct, each from the other because Inventions I, II, III and IV are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 806.04, MPEP § 808.01). Examiner claims in the instant case the different inventions are one invention to deal with a user of wireless device being able to make purchases via a network using both voice and non-verbal methods, and the others not to.

The Examiner states that because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification, restriction for examination purposes as indicated is proper.

Applicant respectfully traverses Examiner's restriction requirement. The criteria for a proper requirement for restriction between patentably distinct inventions are: (A) the inventions must be independent or distinct; and (B) there must be a serious burden on the examiner if restriction is required (MPEP 803). Examiners must provide reasons and/or examples to support conclusions. In the present case, the Examiner has presented no evidence demonstrating a serious burden. Therefore, Applicant believes the restriction requirement is improper and should be withdrawn.

Respectfully submitted,

Thomas F. Bergert

Thomas F. Bergert Counsel for Applicant

Reg. No. 38,076

Filed: April 6, 2004
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number Filing Date First Named Inventor Art Unit Examiner Name Attorney Docket Number	Octob MAMI 2681 NGU	YEN, David Q.
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Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Ad Ferminal Disclaimer Request for Refund CD, Number of CD(s)	ldress	After Allowance communication to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): postcard RECEIVE APR 0 8 20 Technology Cente
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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